

Form PTO-1449 (Rev. 6-98)

U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.

48452-049

Serial No.

09/704,262

Applicant

Robert S. Phillips et al.

Filing Date

November 1, 2000

Group Art Unit

2187

INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number							Date	Name	Class	Sub Class
BE	4	0	9	6	5	6	7	6/20/78	Millard et al.		
	4	4	2	6	6	8	8	1/17/84	Moxley		
	4	7	1	0	9	2	6	12/1/87	Brown et al.		
	4	8	6	8	7	3	8	9/19/89	Kish et al.		
	4	9	1	4	5	7	1	4/3/90	Baratz et al.		
	4	9	3	4	7	6	4	6/19/90	Leitermann et al.		
	5	0	5	5	9	9	9	10/8/91	Frank et al.		
	5	0	6	7	0	7	1	11/19/91	Schanin et al.		
	5	0	7	7	7	3	6	12/31/91	Dunphy, Jr., et al.		
	5	1	1	7	3	5	0	5/26/92	Parrish et al.		
	5	1	1	9	4	8	1	6/2/92	Frank et al.		
	5	2	2	6	0	3	9	7/6/93	Frank et al.		
	5	2	3	7	6	7	3	8/17/93	Orbits et al.		
	5	2	4	5	5	6	3	9/14/93	Hauck, Jr.		
	5	2	4	7	6	7	3	9/21/93	Costa et al.		
	5	2	5	1	3	0	8	10/5/93	Frank et al.		
	5	2	6	9	0	1	3	12/7/93	Abramson et al.		

Examiner

Bradley Goldmann

Date Considered

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BE	5	2	7	4	7	8	9	12/28/93	Costa et al.		
	5	2	7	6	8	5	2	1/4/94	Callander et al.		
	5	2	8	2	2	0	1	1/25/94	Frank et al.		
	5	2	9	7	2	6	5	3/22/94	Frank et al.		
	5	2	9	7	2	6	9	3/22/94	Donaldson et al.		
	5	3	0	3	3	6	2	4/12/94	Butts, Jr. et al.		
	5	3	0	7	5	0	6	4/26/94	Colwell et al.		
	5	3	1	3	6	4	7	5/17/94	Kaufman et al.		
	5	3	3	5	3	2	5	8/2/94	Frank et al.		
	5	3	4	1	4	8	3	8/23/94	Frank et al.		
	5	3	9	0	3	1	6	2/14/95	Cramer et al.		
	5	3	9	0	3	2	6	2/14/95	Shah		
	5	3	9	4	5	5	5	2/28/95	Hunter et al.		
	5	4	0	8	6	4	9	4/18/95	Beshears et al.		
	5	4	2	3	0	3	7	6/6/95	Hvasshovd		
	5	4	5	2	4	4	7	9/19/95	Nelson et al.		
	5	4	8	3	6	5	2	1/9/96	Sudama et al.		
	5	4	8	5	4	5	5	1/16/96	Dobbins et al.		
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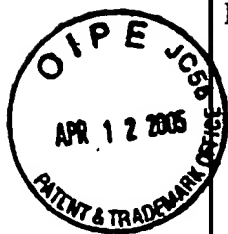
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BE	5	4	9	3	7	2	8	2/20/96	Solton et al.		
	5	5	1	3	3	1	4	4/30/96	Kandasamy et al.		
	5	5	1	9	8	5	5	5/21/96	Neeman et al.		
	5	5	2	2	0	4	5	5/28/96	Sandberg		
	5	5	5	1	0	3	5	8/27/96	Arnold et al.		
	5	5	6	0	0	2	7	9/24/96	Watson et al.		
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	5	6	1	3	0	7	9	3/18/97	Debique		
	5	6	1	7	5	3	7	4/1/97	Yamada et al.		
	5	6	3	4	0	0	4	5/27/97	Gopinath et al.		
	5	6	4	9	1	9	4	7/15/97	Miller et al.		
	5	6	7	5	7	8	7	10/7/97	Miller et al.		
	5	6	8	7	3	0	8	11/11/97	Jardine et al.		
	5	6	8	9	7	0	0	11/18/97	Miller et al.		
	5	6	9	2	1	8	3	11/25/97	Hapner et al.		

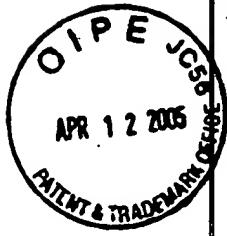
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BE	5	6	9	2	1	9	3	11/25/97	Jagannathan et al.		
	5	6	9	6	9	0	0	12/9/97	Nishigaya et al.		
	5	7	0	1	4	6	2	12/23/97	Whitney et al.		
	5	7	2	7	1	5	0	3/10/98	Laudon et al.		
	5	7	2	7	2	0	3	3/10/98	Hapner et al.		
	5	7	5	8	3	4	3	5/26/98	Vigil et al.		
	5	7	6	8	5	1	0	6/16/98	Gish		
	5	7	8	1	5	3	7	7/14/98	Ramaswami et al.		
	5	8	0	5	7	8	5	9/8/98	Dias et al.		
	5	8	0	5	7	8	6	9/8/98	Badovinatz et al.		
	5	8	1	2	5	3	3	9/22/98	Cox et al.		
	5	8	1	2	7	5	1	9/22/98	Ekrot et al.		
	5	8	1	5	7	1	0	9/29/98	Martin et al.		
	5	8	3	2	5	1	4	11/3/98	Norin et al.		
	5	8	4	8	4	1	9	12/8/98	Hapner et al.		
	5	9	3	3	8	2	6	8/3/99	Ferguson et al.		
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	6	1	4	8	3	7	7	11/14/00	Carter et al.		

Examiner

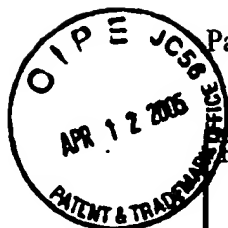
*Bradley E. Coleman*

Date Considered

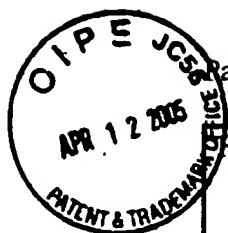
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				Applicant <b>Robert S. Phillips et al.</b>			
				Filing Date <b>November 1, 2000</b>		Group Art Unit <b>2187</b>	
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initial	Document Number	Date	Country	Class	Sub Class	Translation	
						Yes	No
BE	0 661 651 A1	7/5/95	EPO			Y	
BE	0 288 636 A2	11/2/88	EPO			Y	
BE	2 257 273 A	1/6/93	GB			Y	
BE	96/30847	10/3/96	WO			Y	
BE	96/23268	8/1/96	WO			Y	
BE	95/25306	9/21/95	WO			Y	
BE	95/22111	8/17/95	WO			Y	
BE	95/14279	5/26/95	WO			Y	
BE	95/02307	1/19/95	WO			Y	
Examiner <i>Bradley Edelman</i>			Date Considered <b>5/13/05</b>				
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<b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Pertinent Pages, Etc.)			
BE	"An Argument for Simple COMA," Ashely Saulsbury et al., <i>Proceedings of the First Annual Symposium on High Performance Computer Architecture</i> , Jan. 1995, pp. 276-285		
BE	"Design of the Trucluster Multicomputer System for the Digital UNIX Environment," Wayne M. Cardoza et al., <i>Digital Technical Journal</i> , Vol. 8, No. 1 (1996) pp. 5-17		
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BE	"Synapse Tightly Coupled Multiprocessors: A New Approach to Solve Old Problems," Steven J. Frank et al., <i>AFIPS National Computer Conference Proceedings</i> , July 1984, pp. 41-50		
BE	"The KSR1: Bringing the Gap Between Shared Memory and MPP's," Steven J. Frank et al., <i>IEEE Digest of Papers- Compcon '93</i> , Feb. 1993, pp. 285-294.		
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BE	Amaral et al. "A Model for Persistent Shared Memory Addressing in Distributed Systems," <i>Object Orientation in Operating Systems</i> , 1992, IEEE, pgs. 2-11.		
BE	Anderson et al. "Scheduler Activations: Effective Kernel Support for the User-Level Management of Parallelism," <i>Operating Systems Review (SIGOPS)</i> , Vol. 25, No. 1, January 1, 1991, pgs. 95-109.		
BE	Anderson, T., et al. "Serverless Network File Systems," <i>Operating Systems Review (SIGOPS)</i> , Vol. 29, No. 5, December 1, 1995, pgs. 109-126.		
BE	Barton-Davis et al. "Adding Scheduler Activations to Mach 3," <i>Proceedings of the USENIX Mach III Symposium</i> , Santa FE, NM, USA, April 19-21, 1993, pgs. 119-136.		
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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BE	Carter, J.B., "Design of the Munin Distributed Shared Memory System, " To appear in the special issue of the <u>Journal of Parallel and Distributed Computing</u> on distributed shared memory (1995)		
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BE	Enterprise Networking Web Page, <a href="http://www.lancache.com/enad1.html">http://www.lancache.com/enad1.html</a> , printed on May 20, 1997.		
	<del>European Patent Office, European Search Report, Application No. EP 97 30 9471, mailed on April 23, 1998, 3 pages.</del>		
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BE	Lee, E., et al. "Petal: Distributed Virtual Disks," 7 <sup>th</sup> International Conference on Architectural Support for Programming Languages and Operation Systems, Cambridge, MA, October 1-5, 1996, pgs. 84-92.
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BE	Measurement Techniques Inc. Web Page, <a href="http://www.lancache.com/pr1_4.html">http://www.lancache.com/pr1_4.html</a> , printed on May 20, 1997.
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BE	Network Appliance, Inc. Web page, <a href="http://www.netapp.com/products/level3/nfs.html">http://www.netapp.com/products/level3/nfs.html</a> , printed on May 22, 1997.
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	<del>Network Appliance, Inc. Web Page, <a href="http://www.netapp.com/products/level3/windows.html">http://www.netapp.com/products/level3/windows.html</a>, printed on May 22, 1997.</del>
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BE	Patent Cooperation Treaty International Search Report, International Application No. PCT/US 97/21733, mailed on April 23, 1998, 3 pages.		
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BE	Patent Cooperation Treaty, International Search Report, International Application No. PCT/US 97/21458, mailed on April 23, 1998, 3 pages.		
BE	Patent Cooperation Treaty, International Search Report, International Application No. PCT/US 97/21459, mailed on April 23, 1998, 4 pages.		
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BE	Stac, Inc. Web Page, <a href="http://www.stac.com/news/pressrel/pr-legatoagreement.html">http://www.stac.com/news/pressrel/pr-legatoagreement.html</a> , printed on June 13, 1998.		
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BE	Tricord Systems, Inc. Web page, <a href="http://www.tricord.com/2/10/10-3-96.html">http://www.tricord.com/2/10/10-3-96.html</a> , printed on May 22, 1997.
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BE	Wilson, Andrew W., "Organization and Statistical Simulation of Hierarchical Multiprocessors," UMI Dissertation Information Service (1985)
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BE	Goland et al. "RFC 2518", February 1999

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Form PTO-1449 (Rev. 6-98) U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. <b>48452-049</b>	Serial No. <b>09/704,262</b>
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)		Applicant <b>Phillips</b>	
		Filing Date <b>11/1/00</b>	Group Art Unit <b>2186</b>

### U.S. PATENT DOCUMENTS

Examiner Initial	Document Number							Date	Name	Class	Sub Class
BE	5	8	6	4	6	8	3	1/99	Boebert et al.		
	5	8	8	9	9	4	2	3/99	Orenshteyn		
	5	8	6	2	3	4	6	1/99	Kley et al.		
	5	7	5	8	0	7	2	5/98	Filepp et al.		
	5	9	6	6	7	1	5	10/99	Sweeney et al.		

### FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub Class	Translation	
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### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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Examiner Initial	Document Number							Date	Name	Class	Sub Class		
BE	5	8	3	8	9	1	6	11/98	Domenikos, et al.				
	5	9	1	8	0	1	9	6/99	Valencia				
	5	9	8	7	5	0	6	11/99	Carter, et al.				
<b>FOREIGN PATENT DOCUMENTS</b>													
Examiner Initial	Document Number							Date	Country	Class	Sub Class	Translation Yes No	
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